

## UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing (Only for new nonprovisional applications under 37 CFR 1.53(b))

	Submit an original and a Only for new nonprovisional	a duplicate for fee processing applications under 37 CFR 1.53(b))	0				
AD	DDRESS TO:		4631				
	Mail Stop Patent Application Commissioner for Patents	Attorney Docket No. 02-184-C  First Named Inventor Burns	22581 U 10/80				
P.O. Box 1450 Alexandria, Virginia 22313-1450		Express Mail No. EV334694945US	25				
	APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PAI	RTS				
1. 2. 3. 4.	<ul> <li>☐ Transmittal Form with Fee</li> <li>☐ Specification (including claims and abstract) [Total Pages 71]</li> <li>☐ Drawings [Total Sheets 17]</li> <li>☐ Oath or Declaration [Total Pages 2]</li> <li>a. ☐ Newly executed</li> <li>b. ☐ Copy from prior application [Note Boxes 5 and 18 below]</li> </ul>	10.   Assignment Papers  11.   Power of Attorney  12.   English Translation Document (if application)  Information Disclosure Statement (I  PTO-1449 Form  Copies of IDS Citations  14.   Preliminary Amendment  Return Receipt Postcard  (Should be specifically itemized)					
5.	i. Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application  Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is	<ul> <li>16. ☐ Certified Copy of Priority Document</li> <li>17. ☒ A Request for non-publication pursus</li> <li>35 U.S.C. § 122(b)(2)(B)(i)</li> <li>18. ☒ Other: application Data Sheet, Reconstruction</li> <li>Form Cover Sheet</li> </ul>	uant to				
6. 7.	hereby incorporated by reference therein.  Application Data Sheet (See 37 CFR 1.76)  Computer Code Listing (See 1.96)  a. Microfiche Appendix  b. CD-Rom (in duplicate, with separate transmittal)						
8.	<ul> <li>Nucleotide and/or Amino Acid Sequence Submission</li> <li>a. ☐ Computer Readable Copy</li> <li>b. ☐ Paper Copy</li> <li>c. ☐ Statement verifying above copies</li> </ul>						
9.							
19.	. Main This is a CONTINUING APPLICATION. P	lease note the following:					
	a. ☑ This is a ☐ Continuation ☐ Divisional ☑ Continuation-in-part of prior U.S. Patent Application Serial No. 10/137,979 filed May 3, 2002.						
	<ul> <li>b.    ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)</li> </ul>						
	<ul> <li>c.  Amend the specification by inserting before the first line the sentence:</li> <li>This is a  continuation  continuation continuation-in-part</li> <li>of application Serial No.</li> </ul>						
	d. The prior application is assigned of record to						

UTILITY PATENT AF	PLICATION TRANSMIT	TAL A	Attorney Docket No. 02-184-C					
APPLICATION FEES								
BASIC FEE	\$ 770.00							
CLAIMS	NUMBER FILED	NUMBER EXTR	EA.	RATE				
Total Claims	31 -20 =	11		x \$18.00	\$ 198.00			
Independent Claims	3 - 3 =	0		x \$86.00	\$			
Multiple Depender	nt Claims(s) if applicable			+\$290.00 e calculations =	\$			
	\$ 968.00							
	\$(484.00)							
Assignment fee if	\$ 40.00							
	\$ 524.00							
20. Please charg	20. Please charge my Deposit Account No. 13-2490 in the amount of \$							
21. A check in the amount of \$524.00 is enclosed.								
OO The Commission	i- beach coutborized to	aradit avaranymanta	or ob	orgo ony odditio	nal foos of the			
following types to	er is hereby authorized to Deposit Account No. 13-2	credit overpayments	S OF CIT	arge arry addition	iai iees oi tile			
	required under 37 CFR 1							
	required under 37 CFR 1							
	required under 37 CFR 1							
	•				•			
23. The Commission	ner is hereby generally au	thorized under 37 CF	FR 1.1	36(a)(3) to treat	any future			
reply in this or any rel	ated application filed purs	uant to 37 CFR 1.53	requir	ing an extensior	n of time as			
incorporating a reque	st therefor, and the Comn	nissioner is hereby s	pecific	ally authorized to	o charge			
	13-2490 for any fee that n	nay be due in connec	ction w	ith such a reque	est for an			
extension of time.	extension of time.  24. CERTIFICATE OF MAILING							
	24. OLIVI	II TOATE OF WINGER						
I hereby certify that	, under 37 CFR § 1.10,	I directed that the	corres	pondence ider	tified above be			
deposited with the	United States Postal	Service as "Expres	ss Ma	il Post Office	to Addressee,"			
addressed to Mail S	top Patent Application,	Commissioner for	Pater	its, P.O. Box 14	150, Alexandria,			
	on the date indicated b							
	····							
	25. USPTO	CUSTOMER NUME	BER					
		20240						
39310								
Trading Technologies International, Inc.								
26. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED								
		,						
Name Bri	an R. Harris							
Reg. No. 45	900							
1.09.110.	Z//)							
Signature	MATA							
Data	2/1/2/1/2004							
Date M	arch 19, 2004							

UTIL (Rev. 11/21/00)

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Attorney Docket No.

02-184-C

First Named Inventor

Michael J. Burns

Express Mail Label No. EV334694945US

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Name	McDonnell Boehnen Hulbert & Berghoff				
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive				
City, State, Zip	Chicago, Illinois 60606				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED					
Name/Reg. No.	Brian R. Harris				
Signature	Main				
Date	March 19, 2004				